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**KENTUCKY OFFICIAL TO CHAIR INTERNATIONAL COMMITTEE
GIBSON WILL GUIDE WORK FOR NORTH AMERICAN SECURITIES REGULATORS**

November 5, 2020

Marni Rock Gibson has been named Chair of the North American Securities Administrators Association's (NASAA) Broker-Dealer Section Committee.

Gibson is Director of the Securities Division of Kentucky's Department of Financial Institutions (DFI), which regulates securities activities in the state and protects investors from fraud. Securities are financial instruments such as stocks, bonds and mutual funds.

NASAA represents state and provincial securities regulators in the United States, Canada and Mexico. NASAA's Broker-Dealer Section Committee focuses on issues related to the broker-dealer industry, which includes more than 3,600 U.S. firms that buy or sell securities for themselves or on behalf of their clients. As Chair, Gibson will guide the committee's work for the next year. The committee identifies industry trends, comments on federal policy, and develops model rules and best practices for regulators across the continent.

"Marni being named Chair of the Broker-Dealer Section Committee is a big deal for the Commonwealth," said DFI's Deputy Commissioner Justin Malcom Burse. "In the role, Marni will be able to promote policies and practices that not only help millions of Kentucky investors, but investors nationwide."

Gibson has worked at DFI since 2009 and has served in a variety of leadership roles, including acting deputy commissioner. She earned bachelor's degrees in economics and business administration with a finance emphasis from Transylvania University. She also holds a Master of Business Administration.